

PATENT  
Customer No. 22,852  
Attorney Docket No. 7451.0026-00  
InterTrust Ref. No.: IT-24.1 (US)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Marc E. Strohwig, et al. ) Group Art Unit: 2143  
Application No.: 09/629,114 ) Examiner: David Armand Wiley  
Filed: July 31, 2000 )  
For: METHODS AND SYSTEMS FOR )  
TRANSACTION RECORD )  
DELIVERY USING THRESHOLDS )  
AND MULTI-STAGE PROTOCOL )

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached Form PTO-1449. To the best of our knowledge, this Supplemental Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

Copies of the listed documents are attached.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

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This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

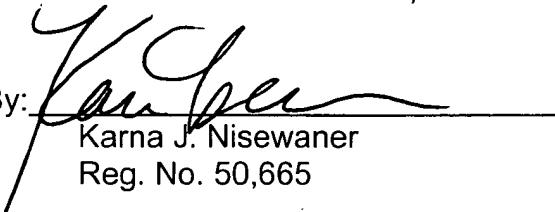
If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: July 30, 2003

By:

  
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OMB No. 0651-0011

## INFORMATION DISCLOSURE CITATION

Atty. No.	07451.0026-00000	Appln. No.	09/629,114
Applicant	Marc E. Strohwig, et al.		
Filing Date	July 31, 2000	Group:	2143

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
	5,530,235	06/25/96	Stefik et al.			
	5,534,975	07/09/96	Stefik et al.			<b>RECEIVED</b>
	5,629,980	05/13/97	Stefik et al.			AUG 01 2003
	5,634,012	05/27/97	Stefik et al.			Technology Center 2100
	5,638,443	06/10/97	Stefik et al.			
	5,715,403	02/03/98	Stefik			

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Examiner Initial*	Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
	EP 0 715 243 A1	06/05/96	EPO			
	EP 0 715 244 A1	06/05/96	EPO			
	EP 0 715 245 A1	06/05/96	EPO			
	EP 0 715 246 A1	06/05/96	EPO			
	EP 0 715 247 A1	06/05/96	EPO			

Examiner Initial*	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
	Stefik, M., "Introduction to Knowledge Systems," Chapter 7, Classification, Morgan Kaufmann Publishers, Inc., San Francisco, CA, 1995, 3 introductory pages and pp. 543-607.
	Stefik, M., "Letting Loose the Light: Igniting Commerce in Electronic Publication," Xerox PARC, Palo Alto, CA, 1994-1995, cover page and 35 pages.
	Stefik, M., "Letting Loose the Light: Igniting Commerce in Electronic Publication," Internet Dreams: Archetypes, Myths, and Metaphors, Massachusetts Institute of Technology, 1996, 5 introductory pages and pp. 219-53.
	Stefik, M., "Trusted Systems," Scientific American, March 1997, pp. 78-81.

Examiner	Date Considered
<p>*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>	